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Commissioner for Patents	United States Patent and Trademark Office		703-746-4060
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From: Caroline G. Chicoine

Date: 10/21/2002

Message:

Please find attached a Response to your Notice to File Corrected Application Papers dated June 19, 2002 along with a copy of the Notice to File Corrected Application Papers in connection with the referenced application.

Please confirm that you received this documentation via facsimile at 314-552-7499 addressed to Caroline G. Chicoine, Thank you.

Caroline G. Chicoine

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Atty/Client/Matter No.: 2825/42194/31155

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Thank you, Fax Department - Operator: Cu

Time of Transmittal: A.M./Ý.M.

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OCT 21 2002 11:59 FR



Certificate of Mailing

ADENN'T hereby certify that this correspondence is being deposited with the United States Postal Service via facsimile at 703-746-4060 addressed to Commissioner for Patents, United States Patent and Trademark Office, Washington, D.G. 20231, on this 21th day of October, 2002.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX RESPONSES-NO FEE U.S. Patent and Trademark Office Washington, D.C. 20231 RECEIVED

OCT 2 4 2002

Technology Center 2600

Re: Country: United States Patent Application for: Automated Informed Consent and Surgical Outcome Tracking System and Method Therefor Application Serial No.(09/782,128) Our Ref. No.: 42194/31155

Dear Sirs:

Applicant hereby responds to the Notice to File Corrected Application Papers mailed June 19, 2002 in connection with the referenced application (copy enclosed).

The drawings were objected to since they contain excessive text. While no specific figure numbers were provided, Applicant assumes that the objection is directed toward Figures 5 and 7. Applicant submits that these figures represent screen shots that are displayed to a user of Applicant's invention, and that the text does not represent legends but rather the content that appears on the screen of the computer on which Applicant invention may be installed. Accordingly, Applicant has not amended any figures in response to this objection.

The compact disc was also objected to since only a single copy of the disc was provided and since it contains non-ASCII files. Applicant hereby submits that the compact disc was submitted for exhibit purposes to simply aid the Examiner in understanding the invention. Applicant understands that the Examiner is under no obligation to consider the compact disc when conducting his or her examination.



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Any fees associated with this response should be charged to our deposit account 20-0823.

Date: October 21, 2002

Respectfully A

Catoline G. Chicoine 38,198 THOMPSON COBURN LLP One US Bank Plaza St. Louis, Missouri 63101 (314) 552-6499 (314) 552-7499 fax

ATTORNEYS FOR APPLICANT

Enclosure

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- 2 -

Caroline G. Chicoine Thompson Coburn LLP Suite 3500 One US Bank Plaza St. Louis, MO 63101-9928

APPLICATION NUMBER

10/120.019

OCT 2 1 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

FILING/RECEIPT DATE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE P WASHINGTON, D.C. 2023

> FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 04/10/2002 Carl Lauryssen

> > CONFIRMATION NO. 9442

42194/31155

Date Mailed: 06/19/2002

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NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

Technology Center 2600

OCT 2 4 2002

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));
- The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.
- The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that only a single copy of each disc was provided. A duplicate copy of each compact disc must be provided on Compact Disc-Read Only Memory (CD-ROM) or a Compact Disc-Recordable (CD-R) media. Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set.

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This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating syst m compatibility, a list of files contained on the compact disc including their names, sizes in bytes, and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.

A copy of this notice <u>MUST</u> be returned with the reply.

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